

<p>FORM PTO-1449</p> <p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(Use several sheets if necessary)</p>	<p>ATTY. DOCKET NO. AMD-004000</p>	<p>SERIAL NO. 09/385,394</p>
	<p>APPLICANT Yates, Jr. et al.</p>	
	<p>FILING DATE August 30, 1999</p>	<p>GROUP 2183</p>

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		Re 36,766	07/2000	Krauskopf			
		3,544,969	12/1970	Rakoczi et al.			
		3,781,823	12/1973	Senese			
	*	4,077,058	02/1978	Appell et al.			
		4,084,235	04/1978	Hirtle et al.			
	*	4,275,441	06/1981	Takeuchi			
		4,412,303	10/1983	Barnes et al.			
		4,455,602	06/1984	Baxter, III et al.			
		4,514,803	04/1985	Agnew et al.			
		4,575,797	03/1986	Gruner et al.			
		4,636,940	01/1987	Goodwin, Jr.			
		4,722,050	01/1988	Lee et al.			
		4,750,112	06/1988	Jones et al.			
		4,779,187	10/1988	Letwin			
		4,812,975	03/1989	Adachi et al.			
		4,831,515	05/1989	Kamada et al.			
		5,043,878	08/1991	Ooi			
		5,115,500	05/1992	Larsen			
	*	5,121,472	06/1992	Danish et al.			
		5,127,092	06/1992	Gupta et al.			
		5,155,835	10/1992	Belsan			
		5,168,557	12/1992	Shibuya			
		5,241,638	08/1993	Mork et al.			
		5,241,664	08/1993	Ohba et al.			
	*	5,276,825	01/1994	Blomgren et al.			

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,301,287	04/1994	Herrell et al.			
	5,307,504	04/1994	Robinson et al.			
	5,335,331	08/1994	Murao et al.			
	5,339,422	08/1994	Brender et al.			
	5,355,487	10/1994	Keller et al.			
	5,361,340	11/1994	Kelly et al.			
	5,371,894	12/1994	DiBrino			
	5,377,309	12/1994	Sonobe et al.			
	5,386,563	01/1995	Thomas			
	5,404,473	04/1995	Papworth et al.			
	5,404,476	04/1995	Kadaira			
	5,432,795	07/1995	Robinson			
	5,454,117	09/1995	Puziol et al.			
	5,479,616	12/1995	Garibay, Jr. et al.			
	5,481,684	01/1996	Richter et al.			
	5,481,693	01/1996	Blomgren et al.			
	5,483,647	01/1996	Yu et al.			
	5,487,156	01/1996	Popescu et al.			
	5,491,827	02/1996	Holtey			
	5,507,028	04/1996	Liu			
	5,515,518	05/1996	Stiles et al.			
	5,542,059	07/1996	Blomgren			
	5,542,109	07/1996	Blomgren et al.			
	5,560,013	09/1996	Scalzi et al.			
	5,568,646	10/1996	Jaggar			
	5,574,927	11/1996	Scantlin			

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	5,574,929	11/1996	Pieterse et al.			
	5,590,309	12/1996	Chencinski et al.			
	5,598,546	01/1997	Blomgren			
*	5,608,886	03/1997	Blomgren et al.			
	5,613,114	03/1997	Anderson et al.			
	5,613,118	03/1997	Heisch et al.			
*	5,619,665	04/1997	Emma			
	5,619,666	04/1997	Coon et al.			
	5,625,835	04/1997	Ebciglu et al.			
	5,628,016	05/1997	Kukul			
	5,636,366	06/1997	Robinson et al.			
	5,638,525	06/1997	Hammond et al.			
	5,652,869	07/1997	Herdeg et al.			
	5,657,474	08/1997	Taine et al.			
	5,659,679	08/1997	Alpert et al.			
	5,659,782	08/1997	Senter et al.			
	5,664,159	09/1997	Richter et al.			
	5,673,407	09/1997	Poland et al.			
*	5,675,332	10/1997	Limberg			
	5,678,032	10/1997	Woods et al.			
	5,685,009	11/1997	Blomgren et al.			
	5,715,421	02/1998	Akiyama et al.			
	5,721,855	02/1998	Hinton et al.			
	5,721,927	02/1998	Baraz et al.			
	5,729,728	03/1998	Colwell et al.			
	5,732,238	03/1998	Sarkozy			

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,742,780	04/1998	Caulk, Jr.			
		5,751,982	05/1998	Morley			
		5,754,878	05/1998	Asghar et al.			
		5,764,947	06/1998	Murphy et al.			
		5,768,500	06/1998	Agrawal et al.			
		5,774,287	06/1998	Leonhardt et al.			
		5,774,686	06/1998	Hammond et al.			
		5,781,750	07/1998	Blomgren et al.			
		5,781,758	07/1998	Morley			
		5,781,792	07/1998	Asghar et al.			
		5,784,640	07/1998	Asghar et al.			
		5,790,824	08/1998	Asghar et al.			
	*	5,790,844	08/1998	Webb et al.			
		5,794,068	08/1998	Asghar et al.			
		5,796,939	08/1998	Berc et al.			
		5,802,272	09/1998	Sites et al.			
		5,802,337	09/1998	Fielden			
		5,802,373	09/1998	Yates et al.			
		5,805,877	09/1998	Black et al.			
		5,812,864	09/1998	McCoy et al.			
		5,815,720	09/1998	Buzbee			
	*	5,819,064	10/1998	Razdan et al.			
		5,822,578	10/1998	Frank et al.			
	*	5,826,073	10/1998	Ben-Meir et al.			
		5,832,205	11/1998	Kelly et al.			
	*	5,838,962	11/1998	Larson			

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	*	5,838,978	11/1998	Buzbee			
		5,842,017	11/1998	Hookway et al.			
		5,847,955	12/1998	Mitchell et al.			
		5,854,913	12/1998	Goetz et al.			
		5,864,697	01/1999	Shiell			
		5,870,763	02/1999	Lomet			
		5,884,057	03/1999	Blomgren et al.			
		5,901,308	05/1999	Cohn et al.			
		5,903,750	05/1999	Yeh et al.			
		5,903,751	05/1999	Hoyt et al.			
		5,903,760	05/1999	Farber et al.			
		5,905,855	05/1999	Klaiber et al.			
		5,911,073	06/1999	Mattson, Jr. et al.			
		5,918,251	06/1999	Yamada et al.			
		5,920,721	07/1999	Hunter et al.			
	*	5,926,484	07/1999	Takusagawa			
		5,930,509	07/1999	Yates et al.			
		5,935,220	08/1999	Lumpkin et al.			
		5,949,415	09/1999	Lin et al.			
		5,958,047	09/1999	Panwar et al.			
		5,958,061	09/1999	Kelly et al.			
		5,960,198	09/1999	Roediger et al.			
		5,966,537	10/1999	Ravichandran			
		5,968,162	10/1999	Yard			
		5,983,335	11/1999	Dwyer, III			
		5,999,736	12/1999	Gupta et al.			

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	6,000,028	12/1999	Chernoff et al.			
	6,002,872	12/1999	Alexander, III et al.			
	6,003,038	12/1999	Chen			
	6,005,551	12/1999	Osborne et al.			
	6,006,029	12/1999	Bianchi et al.			
	6,006,033	12/1999	Heisch			
	6,006,277	12/1999	Talati et al.			
	6,011,908	01/2000	Wing et al.			
	6,014,735	01/2000	Chennupati et al.			
	6,021,265	02/2000	Nevill			
	6,021,484	02/2000	Park			
	6,021,489	02/2000	Poplingher			
	6,026,478	02/2000	Dowling			
	6,029,004	02/2000	Bortnikov et al.			
	6,029,222	02/2000	Kamiya			
	6,031,992	02/2000	Crnelik et al.			
	6,032,247	02/2000	Asghar et al.			
	6,035,120	03/2000	Ravichandran			
	6,035,393	03/2000	Glew et al.			
	6,044,220	03/2000	Breternitz, Jr.			
	6,044,221	03/2000	Gupta et al.			
	6,047,363	04/2000	Lewchuk			
	6,047,390	04/2000	Butt et al.			
	6,052,771	04/2000	Heller, Jr. et al.			
	6,061,711	05/2000	Song et al.			
	*	6,065,103	Tran et al.			

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		6,070,009	05/2000	Dean et al.			
		6,071,317	06/2000	Nagel			
		6,076,144	06/2000	Peled et al.			
		6,081,665	06/2000	Nilsen et al.			
		6,081,890	06/2000	Datta			
	*	6,088,793	07/2000	Liu et al.			
		6,091,897	07/2000	Yates et al.			
		6,092,188	07/2000	Corwin et al.			
		6,115,809	09/2000	Mattson, Jr. et al.			
		6,119,204	09/2000	Chang et al.			
		6,119,218	09/2000	Arora et al.			
	*	6,128,641	10/2000	Fleck et al.			
		6,141,683	10/2000	Kraml et al.			
		6,154,857	11/2000	Mann			
		6,157,970	12/2000	Gafken et al.			
		6,157,993	12/2000	Lewchuk			
		6,163,764	12/2000	Dulong et al.			
		6,175,957	01/2001	Ju et al.			
		6,195,748	02/2001	Chrysos et al.			
	*	6,199,095	03/2001	Robinson			
		6,202,205	03/2001	Saboff et al.			
		6,205,545	03/2001	Shah et al.			
		6,212,493	04/2001	Huggins et al.			
		6,219,774	04/2001	Hammond et al.			
		6,226,789	05/2001	Tye et al.			
		6,233,678	05/2001	Bala			

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	6,237,073	05/2001	Dean et al.			
	6,237,074	05/2001	Phillips et al.			
	6,240,526	05/2001	Petivan et al.			
	6,243,804	06/2001	Cheng			
*	6,249,862	06/2001	Chinnakonda et al.			
	6,253,215	06/2001	Agesen et al.			
	6,256,728	07/2001	Witt et al.			
	6,256,775	07/2001	Flynn			
	6,260,191	07/2001	Santhanam			
	6,289,445	09/2001	Ekner			
	6,295,644	09/2001	Hsu et al.			
	6,298,477	10/2001	Kessler			
	6,304,948	10/2001	Motoyama et al.			
	6,308,318	10/2001	Krishnaswamy			
	6,308,321	10/2001	Schooler			
	6,336,135	01/2002	Niblett et al.			
	6,351,646	02/2002	Jellema et al.			
	6,351,784	02/2002	Neal et al.			
	6,351,844	02/2002	Bala			
	6,353,924	03/2002	Ayers et al.			
	6,363,336	03/2002	Banning et al.			
	6,374,367	04/2002	Dean et al.			
	6,374,369	04/2002	O'Donnell			
	6,381,628	04/2002	Hunt			
	6,381,735	04/2002	Hunt			
	6,397,379	05/2002	Yates, Jr. et al.			

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		6,405,327	06/2002	Sipple et al.			
		6,415,379	07/2002	Keppel et al.			
	*	6,418,460	07/2002	Bitar et al.			
		6,453,292	09/2002	Ramaswamy et al.			
		6,463,582	10/2002	Lethin et al.			
		6,470,442	10/2002	Arimilli et al.			
		6,470,492	10/2002	Bala et al.			
		6,473,846	10/2002	Melchior et al.			
		6,477,683	11/2002	Killian et al.			
		6,480,862	11/2002	Gall			
		6,481,007	11/2002	Iyer et al.			
		6,496,922	12/2002	Borrill			
		6,496,923	12/2002	Gruner et al.			
		6,535,903	03/2003	Yates et al.			
		6,549,930	04/2003	Chrysos et al.			
		6,549,959	04/2003	Yates et al.			
		6,553,431	04/2003	Yamamoto et al.			
		6,557,094	04/2003	Pechanek et al.			
		6,560,693	05/2003	Puzak et al.			
	*	6,564,339	05/2003	Swoboda et al.			
		6,571,331	05/2003	Henry et al.			
	*	6,591,340	07/2003	Chopra et al.			
		6,591,414	07/2003	Hibi et al.			
		6,631,514	10/2003	Le			
		6,631,518	10/2003	Bortnikov et al.			
		6,678,820	01/2004	Kahle			

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		6,685,090	02/2004	Nishigaya et al.			
	*	6,694,457	02/2004	McKee			
		6,708,173	03/2004	Behr et al.			
		6,721,941	04/2004	Morshed et al.			
		6,763,452	07/2004	Hohensee et al.			
		6,779,107	08/2004	Yates			
		6,789,181	09/2004	Yates et al.			
		6,789,263	09/2004	Shimada et al.			
	*	6,820,051	11/2004	Swoboda			
	*	6,820,190	11/2004	Knebel et al.			
		6,826,748	11/2004	Hohensee et al.			
	*	6,934,832	08/2005	Van Dyke et al.			
	*	6,941,545	09/2005	Reese et al.			
	*	6,954,923	10/2005	Yates, Jr. et al.			
	*	6,978,462	12/2005	Adler et al.			
	*	7,013,456	03/2006	Van Dyke et al.			
	*	7,047,394	05/2006	Van Dyke et al.			
	*	7,065,633	06/2006	Yates, Jr. et al.			
	*	7,069,421	06/2006	Yates, Jr. et al.			
	*	7,111,290	09/2006	Yates, Jr. et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		EP 0 324 308	07/1989	EPO				
		WO 96/24895	08/1996	PCT				
		WO 97/50031	12/1997	PCT				

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							YES	NO
		WO 98/13740	04/1998	PCT				
		WO 98/28689	07/1998	PCT				
		WO 98/38575	09/1998	PCT				
		WO 98/59292	12/1998	PCT				
		WO 99/03037	01/1999	PCT				
		WO 99/08188	02/1999	PCT				
		WO 99/08191	02/1999	PCT				

OTHER DOCUMENTS

EXAMINER INITIAL		DESCRIPTION (Including Author, Title, Date, Pertinent Pages, Etc.)
		Ammons, Ball and Larus: Exploiting Hardware Performance Counters with Flow and Context Sensitive Profiling, Proceedings of the ACM SIGPLAN 1997 Conference on Programming Language Design and Implementation, pp. 85-96 (1997)
		Burroughs, Preliminary Edition, B 1700 Systems Reference Manual, Burroughs Corporation (1972)
		Burroughs, B 1700 Systems Micro Implementation Language (MIL) Reference Manual, Burroughs Corporation (1973)
		Brad Calder, Peter Feller, Alan Eustace, Value Profiling, Proceedings of the 30 th International Symposium on Microarchitecture (Micro-30), IEEE, pages 259-269 (December 1-3, 1997)
		Anton Chernoff, et al., FXI32, A Profile-Directed Binary Translator, IEEE Micro, Vol. 18, No. 2, pp. 56-64 (March/April, 1998)
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	<p>APPLICANT Yates, Jr. et al.</p>	
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	T.M. Conte, et al., "Hardware based profiling: An effective technique for profile-driven optimization," International Journal of Parallel Programming, vol. 24, no. 2 (Feb. 1996)
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